

ALASKA DIVISION OF INSURANCE

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THIRD-PARTY ADMINISTRATOR QUARTERLY FILING FORM

Alaska Statute 21.27.630 requires that a person who acts as, or represents to be, a Third-Party Administrator (TPA) in this state or relative to a subject resident, located, or to be performed in this state, is registered in this state.

Alaska Statute 21.27.650(a)(3) requires the Third-Party Administrator to provide to the Director **January 1, April 1, July 1, and October 1** of each year:

- a list of current employees, identifying those transacting business in this state or upon a subject resident, located, or to be performed in this state; and
- a list of current insurers under contract; and any other information the Director may require.

The completed form can be submitted to: insurancelicensing@alaska.gov

1	FILING PERIOD			
	January 1	April 1	July 1	October 1
2	THIRD-PARTY ADMINISTRATOR NAME			FEIN
	Business Physical Address		City	State
	Mailing Address		City	State
	Telephone Number	Fax Number	Business Email Address	
3	FOR INSURED PLANS ONLY			
	Provide the name and NAIC co-code number of all insurers you represent as a TPA in this state. If the insurer is domiciled in a state not accredited with the National Association of Insurance Commissioners (NAIC), a copy of the executed contract must be provided.			
	Insurer			NAIC Co-Code #
	Insurer			NAIC Co-Code #
	Insurer			NAIC Co-Code #
4	EXEMPTIONS			
	Check exemption type:			
	(A) AS 21.27.650 — Home State Registration		(B) AS 21.27.630(f) — TPA of an Insurer	
	(C) AS 21.27.630(a) — ERISA*		(D) AS 21.27.630(k) — Admitted Insurer	
	If the firm does not carry an exempt registration, leave blank.			
	*ERISA TPA's should not file quarterly filings. Instead, the <u>ERISA Exemption Form</u> is required annually before Feb 1			
5	PBM			
	Are you a Pharmacy Benefits Manager as defined in AS 21.27.901-955?			Yes No
6	EMPLOYEE LIST			
	Attached is an organizational chart identifying the key personnel who supervise or have responsibility over personnel performing TPA administrative functions.			
7	FAILURE TO FILE			
	I understand that failure to timely file violates AS 21.27.650(a)(3) and risks penalty against this registration.			
8	SIGNATURE OF COMPLIANCE OFFICER		PRINTED NAME	