



State of Alaska, Department of Commerce, Community, and Economic Development  
Division of Banking and Securities  
PO Box 110807, Juneau, AK 99811-0807  
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<https://www.commerce.alaska.gov/web/dbs/Home.aspx>

## Mortgage Broker/Lender Annual Report

This form shall be filed in NMLS on or before March 15th.

It should be uploaded to "Document Samples"

The document shall be named: 20XX Alaska Annual Mortgage Broker-Lender Report.

**For the period ending December 31, 20**

### Reporting Entity Information

Licensee:

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NMLS ID#:

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Primary Contact:

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Primary Contact Phone:

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Primary Contact Email:

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Pursuant to Alaska Statutes Section 06.60.100 every mortgage licensee under the Alaska Secure and Fair Enforcement Act of 2010 (Alaska SAFE Act) shall file an annual report, under oath or on affirmation, with the Commissioner of the Department of Commerce, Community, and Economic Development. Reports covering operations during the preceding calendar year are to be filed on or before March 15 and are to include affirmation of the 4th Quarter Mortgage Call Report NMLS submission.

The Statute does not provide for an extension of the filing period. A mortgage licensee who fails to file a report may be subject to a civil penalty of \$25 for each day's failure to file the report, an additional civil penalty of up to \$10,000 (Sec. 06.60.420) and may result in the commencement of an administrative action against the mortgage licensee.

Mortgage Broker/Lender Annual Report  
Attestation

Execution:

I, \_\_\_\_\_ (Full Name), \_\_\_\_\_ (Title/Position), am an officer or a control person of \_\_\_\_\_ (Company Name).

On this \_\_\_\_\_ (MM/DD/YYYY), I swear or affirm that I am the named person above and that I am authorized to attest to and submit this filing on behalf of the Licensee and that under the penalty of perjury or un-sworn falsification to authorities, or similar provisions as provided by law, the facts in this report, information reported in the Nationwide Multistate Licensing System & Registry (NMLS), the NMLS Mortgage Call Report (MCR) filing, and any accompanying schedules and statements, are true and correct to the best of my knowledge, information, and belief.

If the Licensee has knowingly made a false statement of a material fact in this annual report or in any documentation provided to support it, the annual report may be deemed invalid.

\_\_\_\_\_  
Signature of Attestant

\_\_\_\_\_  
Printed Name of Attestant

SUBSCRIBED AND SWORN to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.  
Notary Seal

\_\_\_\_\_  
Signature of Notary Public

County or City of: \_\_\_\_\_

State of: \_\_\_\_\_

Commission Expires: \_\_\_\_\_

Registration Number of Notary: \_\_\_\_\_